## Faculty of Engineering and Technology Master of Computing

مرحلة التجزئة في أنظمة التعرف الضوئي على حروف اللغة العربية Arabic Optical Character Segmentation Stage

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# Arabic Optical Character Segmentation Stage 

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# Faculty of Engineering and Technology Master of Computing 

## Thesis Approval

Arabic Optical Character Segmentation Stage

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#### Abstract

A considerable progress in recognition techniques for many non-Arabic characters has been achieved. In contrary, few efforts have been put on the research of Arabic characters. In any Optical Character Recognition (OCR) system the segmentation step is usually the essential stage in which an extensive portion of processing is devoted and a considerable share of recognition errors is attributed. In this research, a novel segmentation approach for machine Arabic printed text for different segmentation stages; line segmentation, word and sub-word segmentation, and character segmentation including diacritics with different font types, styles, and sizes are proposed. The proposed approach based on profile projection techniques, finding global maximum peak, connected components, region properties, and extracting the word's contour. These methods reduce computation, errors, and give a clear description for the sub-word. Both of evaluation and testing of the proposed methods have been developed using MATLAB and shows nearly $98 \%$ accuracy for different segmentation stages.


تقام كبير تم تحقيقه في مجال تقنيات التعرف الضوئي على الحروف في اللغات غير العربية، في المقابل جهود قليلة ومحدودة النتائج قد تم التوصل إليها في اللغة العربية، تعد مرحلة التجزئة في هذه الأنظمة خاصـة في مجال اللغة العربية أحد أهم المر احل، إذ يعد الحصول على تجزئة صحيحة مرحلة اساسية ومهمة للحصول على تعرف صحيح على الحروف، وقد جاء هذا البحث للتركيز على مرحلة التجزئة ومعالجة مشاكل مختلفة ومتنو عة يمكن أن نظهر خلال هذه المرحلة، بدءاً من مرحلة تقسيم النص الى أسطر ، ثم الى كلمات واجز اء الكلمات و التشكيل، انتهاءً بالحصول على الحروف.

عدد من الطرق والأساليب تم استخدامها في هذا البحث، كتحديد خط الأساس و القيم القصوى و المكونات المتصلة وبعض الخصائص المتعلقة بها، بالإضافة الى استخر اج الثكل الخارجي لأجز اء الكلمات الذي يعطي وصفا واضحا لثكل الكلمة، فيسِِّلُ عملية التقسيم، واعتمد استخدام برنامج مـاتلاب (MATLAB) لإنجاز هذا العمل، وتم الحصول على نتائج تصل تقريبا الى 98 \% لمر احل التجزئة المختلفة.

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## Chapter 1 <br> Introduction

This chapter highlights Arabic OCR usage in many applications, the development process and brief description for each one, OCR types, the motivation of this research, and the reason of why the segmentation stage is chosen from all Arabic OCR development process to be worked on. Arabic text characteristics, research objectives, and problem statement will be discussed, also a brief description of the proposed algorithms, and what have been done.

### 1.1 Introduction and Motivation

OCR stands for Optical Character Recognition, OCR converts the electronic scanned images into computer digital format which can be edited by any of text applications like Microsoft Office package programs [2]. The development of efficient and accurate Arabic OCR systems has become one of the most important and challenging tasks. Arabic OCR systems have been used in many applications related to the information retrieval process which has a big revolution recently, to the search engines and data entry operations from different sources of documents like invoices, receipts, bank statement, and passport document which can be done in faster and more accurate way, so the need of accurate Arabic OCR systems increases day by day [21].

In general, extracting text from an image can be divided into five fundamental steps: image acquisition, preprocessing, segmentation, feature extraction and classification. Figure 1-1 shows OCR development stages.

Image acquisition is the first step in the character recognition process. The goal of this step is to transform the input text into a digitized image. OCR systems are classified according to the way of taking their input; online OCR systems in which the input is taken by a pen writes on a flat bed, so the recognition is done while the writing operation is in progress like some tablet computers with pen and smart phones [25]. The second type is offline OCR systems in which the input is usually an already taken image by camera, scanner - the scanner is most commonly used as it is more convenient -, or other optical devices [5]. Two types of input images according to the way of writing are found: the handwritten text type and the printed text type [8].

The preprocessing step should cover all the functions prior to the feature extraction step so it can produce a cleaned-up version of the original image that can be used directly and efficiently by the segmentation method. The most popular methods used in the preprocessing step are binarization, filtering, smoothing, contrast for noise reduction and thinning. Binarization converts a grayscale image into a bi-level image depending on a certain threshold. Noise reduction helps in removing unwanted variations from the input image. Thinning is the process of reducing the width of the input text from many pixels to just only one pixel. This step aims at enhancing the input scanned image to avoid recognition mistakes due to the processing of irrelevant data [22].

The separation of writing into individual characters or segments is called segmentation.

OCR systems use different approaches for segmentation; the analytical approach and segmentation free approach or holistic approach [14], in the analytical approach each word is segmented to small components or parts that form the word like characters and diacritics[12], in this approach more processing is needed but more accurate results are obtained than using the segmentation free approach in which the recognition is done without segmenting the words to small parts but it uses some patterns and look-up dictionary for a certain or limited number of words like numbers and cities' names [10].

Character segmentation is not always producing separate characters [6]; in some cases, it is difficult to segment to single characters like in ligatures which is a combination of two characters or more like (محم)) in word (محم) [9], also some characters are segmented to more than one segment like $\operatorname{SEEN}(\mathrm{m})$, $\operatorname{SHEEN}(ش)$, $\operatorname{SAD}(\boldsymbol{\text { ص } ) , ~} \mathrm{DAD}(\dot{\boldsymbol{\nu}), \mathrm{TAA}(\boldsymbol{( b ) , ~ a n d ~ T H A A ( ظ ) ~ c h a r a c t e r s , ~ i n ~ t h i s ~ c a s e ~ p o s t ~}}$ processing step after initial segmentation is applied to get the correct characters. Diacritics or strokes add additional source of errors in segmentation stage because of overlapping and the need to distinguish between them and dots which they are considered as a part of the sub-word, so extra work should be done.

The segmentation stage is a necessary step in recognizing Arabic characters. An error in segmenting the basic shape of the characters will produce errors in the identification of each character. Segmentation passes through line segmentation, word segmentation and character segmentation stages. Horizontal projection technique can be used for line segmentation, vertical projection technique can be used for word segmentation and over-segmentation with pixel tracking can be used
for character segmentation [23]. For sub words, contours can be used to separate them from the original word. After applying vertical and horizontal projections to segment words into characters, a low-level segmentation is applied for dots and other zigzagging features [24].


Figure 1-1 OCR Development Process

The segments resulting from the segmentation stage are identified in the feature extraction stage, by selecting unique features from letter or segment [16], statistical, discrete or structural features can be used at this stage; the representation of sequence of chain code is used in the statistical features by using simple vector holds these information, so the comparison can be done easily with candidate letter or character [30]. In the discrete features, there are specific features for Arabic characters are used as pre-classification step. Since they produce huge number of classes, the structural features use significant information about the letter where
each letter has a model holds most letter information according to its structure like using information from its contour [30].

The final stage is the classification which uses the extracted features to recognize the segments [12]. Many methods can be used at this stage like neural networks, k -nearest- neighbor and decision tree.

Arabic language is widely used in the world, it is the spoken language by millions of people, Arabic script is used in many applications and documents [3]. Arabic script has some characteristics which make the development of reliable OCR a challenging task especially the segmentation stage from which most of errors come and affect negatively the recognition rate, these features as following:

- It has a cursive nature and written/read from right to left [29].
- Baseline connects characters horizontally, and connection's points locate on. Figure 1-2 shows the location of the baseline; it is the line where most of the pixels locate horizontally [25].
- Overlapped connected components sometimes make the sub-words [31].
- Each character has different width and height from other characters [9].
- If the characters overlapped vertically they perform a ligature or combined character [9]. Figure 1-3 shows an example of ligature.
- The diacritics appear above or below the word which are called sounds or short vowels [20]. Figure 1-4 shows the diacritics of Arabic script.
- The shape of character depends on its location in the word (at beginning, middle, end or a standalone character) [1]. Figure 1-5 shows an example of
'ayn ( $\varepsilon$ ) character and how its shape differs according to its location in the word.
- Different font types and styles are found for printed Arabic text [10].


Figure 1-2 Baseline connects characters in the same sub-word


Figure 1-3 Ligature or compound character (multiple characters overlapped vertically)


Figure 1-4 Diacritics in Arabic script

Table 1－1 Arabic characters all possible shapes according to their location in the word

| Letter Name | Possible shapes |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  | Alone | End | Middle | beginning |
| Alef | 1 | L |  |  |
| Ba＇a | ب | ب | ＋ | ب－ |
| Ta＇a | $\because$ | $\because$ | － | － |
| Tha＇a | $ث$ | ث | － | ث |
| Jeem | ج | ج | $\rightarrow$ | ج |
| Ha＇a | $\tau$ | て | $\sim$ | $\sim$ |
| Kha＇a | $\dot{\text { خ }}$ | خ | خ | خ |
| Dal | $د$ | 1 |  |  |
| Thal | j | 之 |  |  |
| Raa | J | J |  |  |
| Zain | j | j |  |  |
| Seen | س | ル | س | سـ |
| Sheen | ش | ش | ش | شـ |
| Sad | ص | ص | － | صـ |
| Dad | ض | ض | ض | ض－ |
| Taa | b | ط | b | b |
| Thaa | ظ | ظ | ظ | ظ |
| Ein | $\varepsilon$ | ع | $\cdots$ | ع |
| Gein | $\dot{\varepsilon}$ | ¿ | خ | غ |
| Faa | ف | ¢ | － | ف่ |
| Qaf | قٌ | ق | － | ق |
| Kaf | $\checkmark$ | S | S | S |
| Lam | ل | $\downarrow$ | $\perp$ | 」 |
| Meem | P | － | － | － |
| Noon | ن | － | － | － |
| Haa | － | － | $\checkmark$ | هـ |
| Waw | 9 | و |  |  |
| Yaa | ي | － | $\div$ | － |



Figure 1-5 Different shapes for 'ayn ( $\varepsilon$ ) character according to its location in the word (at beginning, middle, end, and isolated form)

Having a segmentation algorithm that deals with Arabic text with diacritics is essential for success of Arabic OCR system. The accuracy and the efficiency of OCR applications are dependent upon the quality of the input image and the segmentation stage.

### 1.2 Research Objectives and Problem Statement

Segmentation stage is the main source of errors in segmentation based Arabic OCRs, till now the research in this field is open and needs a lot of work. For example, dictionaries and holy books for Arabic language with diacritics need to be transformed to digital format, and the existence of diacritics on the texts of these books will poses an additional segmentation challenges which haven't been addressed in most of the existing researches, even in the commercial Arabic OCRs. Indeed, a research in Arabic field which is conducted by Birzeit University, needs an editable format of Arabic dictionaries with diacritics. Commercial OCR is used for this purpose but the result was bad. As a solution for this problem, these dictionaries were typed manually into Microsoft Word software by some people and this took a lot of efforts and time. In this research, we handled most of the issues resulted from segmenting Arabic typed text with diacritics through providing a set of solutions for the problems appear during the segmentation stages summarized as the following:

- Handle the overlapping problem between consecutive text lines in the line segmentation stage.
- Handle the overlapping between sub-words in the word/sub-word segmentation stage.
- Character segmentation with diacritics existence.


### 1.3 Contribution

Different segmentation approaches for offline recognition of printed text with diacritics for different Arabic OCR segmentation stages are enhanced in this thesis;

- A robust algorithm is proposed for line segmentation for Arabic printed text in the AOCR systems based on finding the global maximum peak and the baseline detection. Overlapping between lines and over-segmentation due to the diacritics existence in the Arabic text are the main problems that cause errors in this stage, and solved by the proposed algorithm. The algorithm is tested for different font sizes and types and encouraged results have been obtained.
- An enhanced method for word, sub-word and diacritics segmentation is also proposed. Each word consists of one sub-word or more. The sub-words are extracted in two ways according to the sub-words situation. Vertical projection is used in case of full separation between sub-words by finding the gaps between them, while the connected component concept is used to find the sub-words in case of overlapping. The overlapped sub-words are related to the same word [8], the connected components concept is used to
extract the diacritics from the diacritic image resulted from the vertical projection separation of the diacritic line image. The proposed method also determines if the sub-words are related to the same word or to different words regardless to the font type or size by estimating the pen size for each sub-word.
- Another issue is solved which is the diacritic segmentation of overlapped sub-words, the diacritics in the overlapped region between sub-words are also overlapped by column indices with the two sub-words so the diacritic is related to the sub-word with higher overlapping percentage.
- For the last segmentation stage, which is the character segmentation, another enhanced method is proposed based on contour extraction technique which has many advantages over other methods like having a clear description for character shape and details even for small fonts, also the errors in extracting the baseline are eliminated and no need to adjust the baseline many times [14]. The algorithm extracts the up-contour part then finds the splitting regions locate over it, the splitting regions perform local minimum areas in the up-contour part which are considered as initial cutting points on the sub-word. Over segmentation problem appears at this step, so post processing phase is needed to ignore some splitting regions which cause the over segmentation problem. Ignore cases checking algorithm is developed in easy and reliable way that can fit many font types and styles, based on the specifications of the character's part resulted from initial segmentation process, the location of the splitting region above or below the
baseline included the distance from it (to ignore last splitting region which is not a cutting point plus solving the over segmentation in TAA (b) and THAA (ظ) characters), the space counter which is incremented in case of the specifications are satisfied and the character's part has no dots above or below ( this detects the SEEN (س), SAD (ص), DAD (ض) and helps to detect SHEEN(ش) case which needs extra checking for having three dots). This gives more generality for the algorithm especially the shapes of characters differ according to the used font type. The algorithm shows good results up to $98 \%$.


### 1.4 Research Methodology

The methodology of this research goes through two main steps:

- Data collection: two main sources for the data (datasets) are used for testing; the first one is APTID / MF dataset and the second one is generated locally.
- Data analysis: MATLAB software program is used for code implementation and obtaining the results.

The structure of the datasets and the used tools are described in details in chapter 4.

### 1.5 Thesis Outline

Chapter 2 discusses the pertinent literature and some approaches that already have been done on the Arabic text segmentation, the state of arts, and why this research is a long term one.

Chapter 3 outlines the research methodology which followed and detailed steps that have been done in the proposed algorithm.

Chapter 4 shows the testing and results including the tools, datasets description and different segmentation stages (line, word/sub-word, and character) results. Chapter 5 shows the conclusion and future work.

## Chapter 2 Background and Literature Review

### 2.1 Background

For the past couple of years, there has been increasing interest among researchers in problems related to the text recognition in general. Intensive research has been carried out in this area with a large number of technical papers and reports in devoted to character recognition. This subject has attracted research interest not only because of the very challenging nature of the problem, but also because it provides the means for automatic processing of large volumes of data in dictionary, books and postal code reading [2].

The segmentation research area is still open and still not matured, good segmentation process leads to a good recognition rate. Segmentation passed through several steps or stages shown in figure 2-1.


Figure 2-1 OCR segmentation stages

### 2.2 Line Segmentation Related Work

Line segmentation performs a significant stage in the AOCR systems; it has a direct effect on the next stages -word and sub-word segmentation- which affect the recognition rate directly.

The diacritics existence in the Arabic script causes the major problems in this step. For small fonts, the overlapping between lines problem appears while both oversegmentation and overlapping problems appear for large font sizes. In the oversegmentation case diacritics perform an independent line, these are the main problems which are highlighted and discussed.

Many methods are proposed for text to line segmentation and they are grouped to the following:

- Projection profiles methods

Two main types of projection profiles; horizontal projection profiles and vertical Projection profiles [7], horizontal projection profile is used for line segmentation by finding the inter line gap which is considered as a separation region between two consequent lines. This way is efficient for printed text and when no overlapping or touching is detected between lines [15].

- Smearing methods:

These methods are usually used for handwritten documents to segment the text into lines, the consequent black pixels in the horizontal direction are smeared [28], then the white spaces or pixels between them are marked with black if the distance between them and the black pixels are less than a threshold value, the
boundaries of the smeared region is considered as a line segment. The algorithm fails in case of touching lines, also it is not useful for fully overlapped lines [17].

- Grouping methods

In this method, the connected components of black pixels are grouped, the grouping operation based on some properties like continuity, and similarity, it is more used for documents analysis [2].

- Bounding box based methods

In this method, the histogram for the image is generated, then the lines have lesser numbers of pixels are determined, then by finding the centroid for each line by measuring the region properties, the boundaries for each line are determined [7].

- Hough transform methods

Hough peaks are determined and according to those peaks the lines are extracted. This method is mainly used for document analysis [2].

- Thinning based methods

This method is applied to the background region to detect the boundaries and separation regions. This method is also used to determine text in the documents [2].

- Others

In 2003, Nawaz, Sarfraz, Zidouri and Al-Khatib proposed an algorithm for line segmentation using horizontal projection technique, the line is divided into three
zones; upper, lower and the baseline zone in which the black pixels perform the highest density [5].

In 2012, Elaiwat and Abu-zanona proposed an algorithm for line segmentation based on the summation of each row, where the summation is greater than zero it is considered as part of the line, while the rows that have the summation equals to zero it is considered as the end of the line, so the line locates between two rows that have the summation equals to zero [5]. In this assumption, the overlapping problem between lines is not taken into consideration, so the inter line gap should be clear. In 2012, M. Alrefai et al. proposed an algorithm for line segmentation based on horizontal projection technique, this method based on detecting the small parts like dots and Hamza to be removed. In this method, processing is intensive and it doesn't deal with diacritics [4].

In 2013, M. Alipour. proposed a line segmentation algorithm based on the horizontal projection profile and used a predefined threshold to detect the separation between two lines, if black pixels' difference between the two lines is less than this threshold, the region is considered as a separation between two lines [14]. The problem in this method is the using of a predefined threshold, so it works for predefined and special cases.

The proposed algorithm in this research used the horizontal projection profile technique, since the documents that are used in this research are printed type documents and the assumption that there is no skew in the input image, so we can benefit from the horizontal projection technique to avoid much processing that is
needed in the document analysis techniques, the proposed algorithm needs extra processing just when the overlapping case is detected. This method can be used for different font types, sizes and styles.

### 2.3 Word / Sub-word Segmentation Related Work

Word/sub-word segmentation is an important step in the segmentation phase for segmentation based AOCR systems. In this step, each word and its parts (subwords) are extracted.

In 2000, A. Cheung, et al. introduced a new segmentation algorithm that uses a technique in which the overlapping Arabic words/sub-words is horizontally separated, it also uses a feedback loop between the character segmentation stage and final recognition stage. In the segmentation stage, a sequence of tentative lines has been produced in two processes; the first process uses Amin's character segmentation algorithm and the second process uses the convex dominant points (CDPs) detection algorithm developed by Bennamoun. The recognition accuracy reached up $90 \%$ [16].

In 2008, Jawad H AlKhateeb, et al. proposed a new method for baseline detection and extracting the connected components for the sub-word. The baseline is located below the middle line of an image, after that the peak was checked to determine the baseline. An iterative process was used to detect the connected components based on the connected black pixels in the sub-word and the results were very encouraging; more accurate results for baseline detection and word segmentation were achieved [26].

In 2009, Noor Ahmed Shaikh, et al. suggested an algorithm for Sindhi text segmentation, the text was thinned using Shaikh, Z.A. thinning algorithm. Horizontal projection was used for text to line segmentation, then the baseline was detected using the horizontal projection of the extracted line of text, finally the subword was extracted using connected components extraction method. The algorithm failed in case of overlapped characters.

In 2012, M. Alrefai et al. proposed an algorithm for word/sub-word segmentation based on vertical projection technique, the small parts like dots and Hamza have to be removed and this is computationally expensive, also it doesn't deal with diacritics and different font sizes, the threshold value should be determined before [4], the proposed algorithm in this thesis works for different font types and sizes dynamically.

In 2013, M. Alipour. proposed an algorithm for word/sub-word segmentation based on the vertical projection profile, a predefined constant k is used which holds the value equals half of the line height, the separation region holds the value of k consecutive zero's [14]. The problem in this method is the using of predefined value which will be not suitable for all different word sizes and styles.

The notice from these works that the word and sub-word segmentation cannot come as independent work in most cases, it comes as pre-stage for character segmentation. Table 2-1 shows some approaches for line and word/sub-word segmentation. Many of these methods failed in solving the overlapping between sub-words, and some depend on the line height to come with equations that
determine the threshold value between two independent words, in this case one font size is used in the input line; on the contrary, the proposed algorithm in this research generates the threshold value regardless the font size, type or style used in the input line.

Table 2-1 Line and word/sub-word segmentation previous work approaches

| Year/Reference | Method based on | Accuracy on <br> line <br> segmentation | Word / sub-word <br> segmentation <br> accuracy |
| :--- | :--- | :--- | :--- |
| 2010/ [2] | Horizontal and vertical <br> projection, Recursive <br> middle line <br> extraction, Component <br> contour tracing | $99.5 \%$ | $99.54 \%$ |
| 2012/[5] | Horizontal projection | $97.3 \%$ | $96.3 \%$ |
| $2006 /[6]$ | Modified horizontal |  |  |
| projection method | $97.85 \%$ | Not Reported |  |

### 2.4 Character Segmentation Related Work

Many methods are proposed for Arabic OCR character segmentation and they are classified into: projection profile methods, character skeleton based methods, contour tracing based methods, template matching based methods, morphological operations based methods and recognition based segmentation methods, and each of these methods has advantages and disadvantages [1].

- Methods based on projection profiles are usually used for lines, words and sub-words segmentation, when a clear gap is found between them. Horizontal projection is used for line segmentation and vertical projection is usually used for word and sub-word segmentation. When this method is used for character segmentation, the segmentation region is thinner than around regions by applying the vertical projection [19].
- Methods based on contour tracing: in this method, the pixels that form the outer shape of the character or word are extracted, researchers used many ways to determine the cutting points on the contour. In general contour based methods avoid the problems appear in the thinning because it depends on extracting the structure of the word, which gives a clear description for it, but they are affected by the noise, so some enhancements also should be applied [1].
- Methods based on morphological operation: in this method, morphological operations are used for segmentation, usually closing followed by opening operations are applied. This method is not an independent mothed because other techniques should be used beside for segmentation. Little number of researchers used this method [30].
- Methods based on template matching: in this method, usually a sliding window slides over the baseline is used, if any match is noticed then the center pixel in the sliding window is considered as the cutting point. The problem in this method is if the cutting point locates under the baseline, a segmentation failure will be occurred [1].

In 2000, A. Cheung, et al. introduced a new segmentation algorithm that uses a technique in which the overlapping Arabic words/sub-words are horizontally separated, they also used a feedback loop between the character segmentation stage and final recognition stage. In the segmentation stage, a sequence of tentative lines has been produced in two processes, the first process uses Amin's character segmentation algorithm, and the second process uses the convex dominant points (CDPs) detection algorithm developed by Bennamoun. The recognition accuracy reached up $90 \%$ [16].

In 2004, Mostafa G. Mostafa developed a new segmentation approach for printed Arabic text especially for "Simplified Arabic" font with different sizes. The main rule used is that "most characters start with and end before a T-junction on the baseline.", this rule was fine for most characters, except for some special characters like SEEN (س), SHEEN (ش), SAD (ص), and DAD (ض) which had a special treatment. The algorithm was tested and achieved a $96.5 \%$ of good segmentation accuracy [12].

In 2005, M. Omidyeganeh, et al. presented a new segmentation algorithm based on conditional labeling for up and down contours. The algorithm was developed for multi font Farsi/Arabic texts. The contour of sub-word is measured by using convolution kernel with Laplacian edge recognition-based segmentation detection method. The algorithm goes through Contour labeling of each sub-word and contour curvature grouping to improve the segmentation results, character segmentation, adaptive local baseline and post processing. The results showed that $97 \%$ of characters of the printed Farsi texts were segmented correctly [11].

In 2009, Noor Ahmed Shaikh, et al. suggested an algorithm for Sindhi text segmentation, the text was thinned using Shaikh, Z.A. thinning algorithm. Horizontal projection was used for text to line segmentation, then the baseline was detected using horizontal projection of the extracted line of text, finally the subword was extracted using connected components extraction method. The algorithm failed in case of overlapped characters. Height Profile Vector (HPV) used in [13] for characters' extraction. Extra analysis was done over HPV to determine the locations of the Possible Segmentation Points (PSPs). In some cases, the algorithm failed by performing under or over segmentation, these faults in the algorithm made it not solid and need extra work to solve the under and over segmentation problems. In 2010, Sobia T. Javed, et al. developed a free segmentation approach for Urdu script, different pattern matching techniques were used to classify the pattern. The features were extracted from the image and fed them to HMM recognizer, which has an ability to perform recognition with great ease and efficiency. The algorithm was tested giving an accuracy of $92 \%$ for total 3655 ligatures, 3375 ligatures are accurately identified [27].

In 2013, Mohammad Alipour improved segmentation method of the Persian script. Some structural features were used to adjust the fragments to increase the quality of segmentation. Vertical projection was used to extract the word fragments over the baseline- dots and diacritics were not considered-, then the fragments were adjusted in extra step by merging the small fragments, this step was necessary in the cases of one character is segmented into more one part like SEEN (س), SHEEN
(ش), SAD (ص) and DAD (ض). The algorithm achieved $98.02 \%$ as an average segmentation accuracy [14].

Table 2-2 summarizes the previous work approaches, recognition rates and main disadvantages. The proposed approach benefits directly from the contour method by using only the up-contour part as shown in Figure 2-2.


Figure 2-2 Splitting areas extracted from the up-contour

Table 2-2 Segmentation previous work approaches, segmentation accuracy and main disadvantages

| Year/Reference | Approach | Segmentation Accuracy | Disadvantage |
| :---: | :---: | :---: | :---: |
| 2004-[16] | Segmentation <br> Based | 96.5\% | The algorithm was used only for 'Simplified Arabic' font type |
| 2005-[11] | Segmentation <br> Based | 97\% | - A pre-processing technique was used to adjust the local base line for each sub-word. <br> - A post processing stage was used to adjust the segmented characters |
| 2008- [26] | Segmentation <br> Based | Not reported | An iterative process was used to detect the connected components based on the connected black pixels in the sub-word - overhead- |
| 2009-[13] | Segmentation <br> Based | Not reported | Some faults in the algorithm were registered, and extra work was needed to solve the under and over segmentation problems |


| 2010-[27] | Holistic | $92 \%$ | Each time the features were <br> extracted from the image <br> they fed to some recognizer <br> for identification purpose, <br> and this led to extra <br> processing. |
| :--- | :--- | :--- | :--- |
| $2013-[14]$ | Segmentation | $98.02 \%$ | Based |
|  |  |  | The word fragmentation <br> was done without <br> considering dots and other <br> signs of the word's <br> characters <br> Adjustment is done for |
| merging small fragments |  |  |  |
| that are parts of a character |  |  |  |

### 2.5 Concepts Background

Binary image: a black white image form, where the data is represented by 0 value (black, no data) and 1 value (white). The binary image in MATLAB program is represented by matrix or two-dimensional array, as shown in figure 2-3.


Figure 2-3 Binary image representation

Pixel: the smallest part of the image that represented by one location in the image' matrix. Each pixel in the binary image has 8 faces or edges to be connected with other pixels.

Horizontal projection: the summation values of data in the matrix rows that represents the image. The inter gap represents zero output for the summation.

$$
\begin{equation*}
H_{P r o j}=\sum_{j} p(i, j) \tag{2-1}
\end{equation*}
$$

Vertical projection: the summation values of data in the matrix columns that represents the image, the inter gap represents zero output for the summation.

$$
\begin{equation*}
V_{P r o j}=\sum_{i} p(i, j) \tag{2-2}
\end{equation*}
$$

Connected components: groups or clusters of pixels that connected to each other in a certain way. The connectivity value is determined by the number of faces or edges
for the pixel inside the group, for example, the pixels inside one connected components can connect with each other using 8-edge connectivity, in which one of edges for every pixel should be connected to another.

Sub-word: part of a word that performs one connected component.
Word: part of text line that consists of one or more sub-words.
Sub-word's main body: the sub-word without diacritics that performs the largest connected component in the sub-word.

Pen size: the thickness of pen for writing. It usually performs the most frequent value of the vertical projection, as shown in figure 2-4.


Figure 2-4 Pen size $=1$

### 2.6 State of Art

Segmentation algorithms are developed for different segmentation stages (line segmentation, word/sub-word segmentation, diacritics segmentation and character segmentation). Each one of them has advantages over the previous approaches. For line segmentation algorithm, it uses the horizontal projection technique with additional steps to detect the overlapping cases by respectively estimating the global
maximum peak, finding the expected line height to find the lines count, and splitting the segment into lines. This method avoids much computation time which is found in document analysis methods. Some of these methods failed in case of full overlapping between two lines like the run length smearing method. Most of the methods that used horizontal projection assume the inter line gap between lines is clear, or a pre-define threshold value which can't be suitable for different font types, styles and sizes.

For word/sub-word segmentation the proposed method used the vertical projection technique for separation, then it computes the pen size to determine if the separation region locates between two sub-words in the same word or in different words. The algorithm used the connected component technique to extract the overlapped subwords. After that, the diacritics are extracted and assigned to its related sub-word even in case of overlapping by estimating the overlapping percentage at the column level between each diacritic and the overlapped sub-words. The diacritic belongs to the sub-word with max overlapping percentage.

For character segmentation, the proposed algorithm uses the contour finding approach. This approach has many advantages over finding the skeleton of the word, in which word's information can be lost, and that leads to less recognition rate.

Imcontour () MATLAB function is used with some enhancements, then the upcontour is extracted by electing two points as beginning and end points on the original contour. The up-contour is formed by tracking the path from the beginning point to the end point in counterclockwise direction. After extracting the up-
contour, it goes for extra processing and new algorithm is applied to find the splitting areas over the up-contour. Some of these splitting areas should be ignored like in case of SAD (ص), DAD (ض), SEEN (س) and SHEEN (ش). For this reason, these splitting areas are passed to a new algorithm to determine whether to be ignored or not. In this algorithm, some rules are applied to determine the SAD (ص), DAD (ض), SEEN (س) and SHEEN (ش) characters.

### 2.7 The Proposed Approaches Features over the Previous

## Approaches

Table 2-3 Line segmentation proposed approach features over other approaches

| Proposed Approach | Previous Approaches |
| :--- | :--- |
| Benefits from the horizontal projection <br> technique then some enhancements are <br> added to get the wanted results. | Horizontal projection technique is <br> used with assumption that no <br> overlapping no touching found. |
| Less computation time is needed than <br> the document analysis techniques, <br> since the documents contain printed <br> text only. | The document analysis methods that <br> used for line segmentation like the <br> grouping, thinning and run length <br> smearing needs much computation |
| No need for a predefined threshold <br> value to segment the text into lines like | Morizontal projection for overlapped |
| line height or the distance between two |  |
| adjacent lines. The document may | lines use a predefined value like line |
| width or the distance between two |  |


| contain different lines height and | consequent lines, and that doesn't |
| :--- | :--- |
| different font types and styles. | work for documents that include |
| many font sizes and styles. |  |

Table 2-4 Word/Sub-word segmentation proposed approach features over other approaches

| Proposed Approach | Previous Approaches |
| :--- | :--- |
| It solves the problem of overlapping <br> between sub-words using the connected <br> component technique. | Many of the proposed approaches <br> fail in solving the connected <br> component problem. |
| It uses the vertical projection technique | Many of these techniques uses a |
| to separate the words and sub-words that |  |
| predefined threshold value to |  |
| have a clear gap between them, and |  |
| determines which sub-words are related |  |
| to the same word without using a | determine if the splitting region is |
| between two different words or |  |
| predefined or a threshold value. | between two sub-words related to |
| the same word. |  |

Table 2-5 Character segmentation proposed approach features over other approaches

| Proposed Approach | Previous Approaches |
| :---: | :---: |
| Gives a clearer and better description for the structure of the sub-word. | In the skeleton approach the data and the structure of the sub-word can be lost in some cases, since the shape is reduced to one-pixel width. |
| The splitting is done without the need to compute the baseline. This approach overcomes this problem by finding the splitting areas in the up-contour, and then applying some rules to ignore the extra ones. | The up and down contours were extracted to calculate the baseline, and sometimes the algorithm failed in determining the baseline. In this case, the baseline was adjusted in extra processing step. |
| There is no need for extra processing to be done to label each pixel on the contour, and no need to apply the full state diagram to label it. Also, there is no need to do the contour curvature grouping. | Up contour labeling was performed to determine each pixel in the contour if it is above or below the extracted baseline, and this took much processing. |
| It solves many problems like the touching between sub-words. In this case, the region of touching performs a splitting area. | This cannot be done by other approaches. |

## Chapter 3 <br> Proposed Work

In this chapter the proposed methodologies for different segmentation stages - for segmentation based OCRs - are discussed in details. Figure 3-1 shows different segmentation stages.


Figure 3-1 OCR segmentation steps

The used documents are classified into two groups according to the existence of the diacritics:

- Documents with diacritics (tashkel), as shown in figure 3-2.


Figure 3-2 Arabic text with diacritics

- Documents without diacritics (tashkel), as shown in figure 3-3.

$$
\begin{aligned}
& \text { بسم الله الرحمن الرحيم رب يسر ولا تعسر حدثّا الشيخ الإمام العالم أبر محد عبد القّار بن عبد الله الرهاوي قالل أخبرنا } \\
& \text { الثيخ الإمام العالم الحافظ أبو طاهر احد بن محد السلفي الأصبهاني قال أخبرنا شيخنا ابو بكر أحد بن علي بن }
\end{aligned}
$$

Figure 3-3 Arabic text without diacritics

### 3.1 Text to Line Segmentation

Line segmentation is a common step between segmentation based and free based OCRs, the diacritics existence makes the segmentation to individual lines a complicated task; it causes two main problems:

- Overlapping between lines [6]. In this case the inter line gap disappear.
- Over segmentation problem, in which the line appears consisting of diacritics only, especially for large fonts [28].

The proposed algorithm overcomes these problems, the assumption is that lines are not skewed, the algorithm based on the horizontal projection technique, the base line detection (global maximum peak), and finding the local maximum peaks. Figure 3-4 shows the text to lines segmentation algorithm stages.


Figure 3-4 Line segmentation algorithm stages

### 3.1.1 Input Image

The input is an RGB image, which is converted to grayscale format [18] using rgb2gray () MATLAB function, in this function, the brightness information is obtained by merging RED, GREEN and BLUE in the true color image, with ratio reach up to $30 \%, 60 \%$ and $11 \%$ for each one respectively, then the image is cropped and passed to the next stage to detect the page layout.

### 3.1.2 Page Layout Detection or Segmentation

Page layout detection performs a pre-processing step for extracting the document's lines. The page organization is detected by finding the columns that the page
consists of (one column, two columns or more). Figure 3-7 shows the flowchart for page layout detection, and these steps are clarified by algorithm 1 as the following:

- Image binarization - image conversion to black white image (binary image) -. The size of the data to be processed is being minimized for ease of estimations.
- Enhance the image using an opening technique to reduce the interlacement and the overlapping between the lines that caused by the diacritics.
- Vertical projection is then applied - In this step the regions with no data are detected by finding the indices with zero projection - , these indices are the initial separation regions between page's columns; then the size of each region is calculated and compared with a threshold value to be considered as a separation region (the length of the separation region is greater than a certain threshold value). Figure 3-5 shows the separation regions where the vertical projection equals to zero.
- The page's columns are located between these separation regions.

The output is a list of columns that the layout of the page consists of. Figure 3-6 shows the output of this stage.

```
Algorithm 1: Page Layout Detection and Segmentation
    Input: Binarized cleaned Image (CImage)
    Output: List of columns the page layout consist of
    begin
        //vertical projection is applied
        \(V\) _proj \(\leftarrow\) Vertical_projection(CImage))
        //indices where vertical projection is equal to zero are detected and these are the separation
            regions indices .
        Separation_indices \(\leftarrow V\) _proj \(==0\)
        //every set of separation indices are grouped and each group is then considered as separation
        region.
        continuous_regions \(\leftarrow\) grouped_continuous_indices(Separation_indices)
        //filter separation region.
        separation_regions \(\leftarrow\) continuous_regions \(>\) Threshold
        for \(i \leftarrow 1\) to length(separation_regions) do
            //page column min limit
            column_min_index \((i) \leftarrow \min (\) separation_regions \((i))\)
            //page column max limit
            column_max_index \((i) \leftarrow \max (\) separation_regions \((i+1))\)
            //page column part
            column \((i) \leftarrow\) Igray \((:\), column_min_index \((i):\) column_max_index \((i))\)
            //add the column to columns' list
            ListOf(columns).Add(column(i));
        end
        return ListOf(columns)
    end
```



Figure 3-5 Separation regions where the vertical projection is equal to


Figure 3-6 Page layout detection for page consists of two columns


Figure 3-7 Page layout detection flow chart

### 3.1.3 Generating Segments Consist of Lines with Equal Width

Each column resulted from the previous step is divided into segments (each segment consists of one or more lines that have equal width). Opening technique plus horizontal projection are applied in this step.

Horizontal projection is an efficient way for segmentation when the inter-lines gap is clear. In case of diacritics existence, the separation between lines is not clear due to the overlapping, so an opening technique is applied to reduce the overlapping and interlacement. Also by using this technique, the segments with different lines width will have a clear gap in most cases, then the horizontal projection is applied to get these segments [18]. Each segment has one line or multiple overlapped lines with the same width inside.

The number of lines in the segment is then detected, if it consists of more than one line, then the overlapping case is detected, and the segment is passed for extra processing to split the overlapped lines, but if the segment consists of one line, then the line is passed for over segmentation checking to be merged or not depending on its width if it exceeds a certain threshold value. Figure 3-8 shows the flow chart for generating these segments.

Each column (grayscale image) resulted from the page layout detection step is processed as the following:

- The interlacement caused by diacritics is reduced by using bwareaopen() MATLAB function using a certain threshold which is determined through the testing process.
- Horizontal projection is then applied, and the rows' indices where the projection equals to zero is determined, then the indices are grouped. Each group performs a separation region between two consequent segments.
- Each segment is determined by the separation region boundaries.
- The resulted segments consist of one or more lines, if more than one line inside the segment then the overlapping problem is detected and it is solved in the next stage, the lines inside this segment have the same width.
- In the last step, the segment is passed for extra processing to determine the overlapping case to be solved.

Algorithm 2 shows these steps, and figure 3-9 shows an example of the output segments generated from this stage.


Figure 3-8 Generating segments with equal lines' width inside


Figure 3-9 Generating segments consist of lines that have equal width

```
Algorithm 2: Column Segmentation
    Input: Column Image (CImage)
    Output: List of column Segments
    begin
        //horizontal projection is applied
        H_proj \(\leftarrow\) horizontal_projection(CImage))
        //indices where horizontal projection is equal to zero are detected.
        Separation_indices \(\leftarrow H\) _proj \(\left(i^{c} j\right)==0\)
        //every set of continuos separation indices are grouped to form the separation region.
        separation_regions \(\leftarrow\) grouped_continuous_indices(Separation_indices)
        //extract column segments and add them to the column segment list .
        for \(i \leftarrow 1\) to length(separation_regions) do
            //segment row start index
            segment_row_start_index \((i) \leftarrow \min (\) separation_regions \((i))\)
            //segment row end index
            segment_row_end_index \((i) \leftarrow \max (\) separation_regions \((i+1))\)
            //crop the segment using start and end indices
            segment \((i) \leftarrow\) Crop \((\) CImage, segment_row_start_index \((i)\), segment_row_end_index \((i))\)
            //add the cropped segment to the segments' list
            Column_Segments_list.Add(segment(i));
        end
        return Column_Segments_list
    end
```


### 3.1.4 Initial Lines Count Estimation Inside the Segment

The resulted segments from the previous step is passed to another stage to detect the overlapping by finding the approximated lines count inside the segment, if the count is more than one then the overlapping is detected, otherwise, the over segmentation checking is taken a place.

To get the approximated lines count inside the segment, a single line width and the segment width are calculated, then the count is easily calculated by dividing the width of the segment by the width of a single line, but how to get a single line width? The horizontal projection is applied to the segment, then the global maximum peak and its location are determined. The location of the global
maximum peak performs a baseline for a single line in the segment [25], then the baseline is marked with white color (assigning the value of pixels passed through with value equals one in white black image). Figure 3-10 shows the line drawn at global maximum peak. This drawn line makes the main body of the sub-word in the line as one connected component (it passes though the main bodies without diacritics), and can be selected by applying the selection operation. The obtained line from the selection operation is without diacritics, and its width is less than the actual width because it hasn't diacritics. Figure 3-11 shows the selection operation for the line located at global maximum peak (baseline).

$$
\begin{equation*}
\text { Approximated lines' count }=\frac{\text { Segment width }}{\text { Line main_body width }} \tag{3-1}
\end{equation*}
$$

To give better result for the line width, the segment's width is divided by the approximated count of lines, if the resulted count of lines inside the segment equals to one then the line (the input segment in this case) is added to the lines. Algorithm 3 defines the steps' sequence to split segment into lines.

$$
\begin{equation*}
\text { Approximated line width }=\frac{\text { Segment width }}{\text { Approximated lines count }} \tag{3-2}
\end{equation*}
$$



Figure 3-10 The drawn line at the global maximum peak (baseline)


Figure 3-11 The selection operation for the line passes through the global max peak

```
Algorithm 3: Splitting Segment into Lines
    Input: A grayscale image -segment- that consist of one or more lines that have equal width (Igray)
    Output: List of lines
    begin
        //complementary of the gray-scale image
        Igray \(\leftarrow\) Complement(Igray)
        //compute the horizontal projection
        \(h \_p r o j \leftarrow \operatorname{sum}(p(j c i))\)
        //local maximum peaks in the horizontal projection values
        local_peaks \(\leftarrow\) find_peaks(h_proj)
        // the rows indices for the peaks, every baseline locates at one of these indices
        peaks_locations \(\leftarrow\) location(local_peaks)
        //calculate global maximum peak
        max_peak \(\leftarrow \max (\) local_peaks \()\)
        //the location of the global max peak
        max_peak_location \(\leftarrow\) location \((\) max_peak \()\)
        //draw line at the global max peak location, the line will perform one object
        Ibinary \(\leftarrow\) draw_line_at_max_peak_location(segment)
        //select the line that its baseline locates at global maximum peak
        line_at_max_peak \(\leftarrow\) select_line \((\) max_peak \()\)
        //calculates an approximation for lines count inside the segment
        approximated_lines_count \(\leftarrow\) segment_width / selected_line_width
        if approximated_lines_count \(>1\) then
            // overlapping case
            ListO \(f(\) Lines \() \leftarrow\) split_segment_to_individual_lines(Igray)
        else
            //over segmentation checking
            ListO \(f(\) Lines \() \leftarrow\) over_segmentation_checking(Igray)
        end
        return ListOf(Lines)
    end
```


### 3.1.5 Split Each Segment to Individual Lines in Case of Overlapping

If the approximated lines count inside the segment is more than one, then the overlapping between lines is detected to get all lines inside the segment -which has lines with equal width inside-. Another stage of processing is wanted to avoid the error in calculating lines count that caused by diacritics in the document, so the lines count is recalculated to get more accurate result for the lines count.

In this stage, the segmentation of the overlapped lines is started by taking $35 \%$ of local maximum peaks resulted from the previous step and sort them in descending order, the locations of these peaks perform the baselines of many lines in the segment, these locations are sorted in descending order, and the distance between every two consequent locations is then calculated, the distance should be greater than or equal to the approximated line width obtained in the previous step to consider it as a valid distance. Figure 3-12 shows the locations of the valid peaks in the segment that perform the baselines for many lines. After the valid distances are calculated, the average value of them is calculated, which performs a single line width inside the segment. To get better estimation for single line width, the count of lines is then recalculated - calculated by dividing the width of the segment by the estimated line width -, then the width of the line is re-evaluated by dividing the segment's width by the count of lines. In the last step after finding the line width, the lines are determined by splitting the segment into lines' images. Figure 3-13 shows the segmentation result of overlapped lines inside the segment, and algorithm 4 describes the overlapped lines segmentation.


Figure 3-12 Valid peaks detected in the segment


Figure 3-13 Overlapped lines segmentation result

```
Algorithm 4: Segment Splitting to Individual Lines in Case of Overlapping
    Input:
    - Segment with overlapped lines inside (Segment)
    - Approximated or expected line width
    Output: List of equal width lines that locates inside the segment
    begin
        // find local maximum peaks for the horizontal projection of the segment
        local_maximum_peaks \(\leftarrow\) find_peaks \((H\) _proj \((\) Segment \())\)
        //sort peaks in descending order
        sorted_peaks \(\leftarrow\) sort_descending (local_maximum_peaks)
        //part of the sorted peaks are taken
        selected_peaks \(\leftarrow\) take_part(sorted_peaks)
        //the rows indices of the selected peaks are calculated
        peaks_locations \(\leftarrow\) location(selected_peaks)
        //sort locations in descending order
        sorted_locations \(\leftarrow\) sort_desc \((\) peaks_locations)
        for \(i \leftarrow 1\) to length(local_peaks) do
            //the distance between each two consequent locations is calculated
            distance \(\leftarrow\) distance(local_peaks \((i)\), local_peaks \((i+1)\) )
            if distance < expected_line_width then
            distance.ignore()
            else
            ListOf(distances).Add(distance)
            end
        end
        //the line width is the average distance
        initial_line_width \(\leftarrow\) mean \((\) ListOf \((\) distances \())\)
        //calculate lines count
        lines_count \(\leftarrow\) segment_width / initial_line_width
        //recalculate line width
        line_width \(\leftarrow\) segment_width / lines_count
        ListOf \((\) Lines \() \leftarrow\) divide_segment_into_lines(line_width)
        return ListOf(Lines)
    end
```


### 3.1.6 Over Segmentation Problem Detection and Solving

If a single line is detected after calculating the approximated lines count inside the segment, the line is passed to check for over segmentation case, if the line's width is less than a threshold value [28], then it will be merged with the previous line or next segment (still not segmented, which will pass for detecting lines count stage). In this step, the distance between the current line and the previous one is measured
then compared with the distance between the current line and the next segment, if it is smaller, then it will be merged with previous one, otherwise, it will be merged with the next segment.

To merge the current line with previous line, the minimum row index value for the previous line will be the minimum index of the current line; the image field will also be modified by applying the new boundaries for the cropping operation, which applied on the original grayscale image.
i:index
$\operatorname{lines}(i-1) \cdot m a x \_i n d e x=\operatorname{lines}(i) \cdot m a x \_i n d e x ;$
$\operatorname{lines}(i-1) . \operatorname{img}=$ im_gray $\left(\operatorname{lines}(i-1) . m i n_{-} r o w_{-} i n d e x: \operatorname{lines}(i-\right.$ 1). max_row_index ,:);

To merge with next segment, the splitting region boundaries for the next segment are modified by replacing the current splitting region by the previous one.

```
current_splitting_region = previous_splitting_region.
```


### 3.2 Line to Words, Sub-words and Diacritics Segmentation

For Arabic OCR system, the next stage after line segmentation is mainly words/subwords segmentation. The proposed methodology is mainly based on the projection profile approach and consists of set of sequence stages, as shown in figure 3-14, a
binary text line image of printed Arabic text with/without diacritics performs the input, and a segmented words/sub-words as an output.


Figure 3-14 Word/sub-word segmentation algorithm

### 3.2.1 Text Line Image Processing

In this stage, to facilitate the word and character segmentation stages, two additional versions of the input text line image are generated. The first version contains just the main body of the text without diacritics and dotting, while the second one contains only the diacritics and dotting.

To generate these versions, a horizontal projection method is applied to the original text line input image to find the global maximum peak and its location, which represent the baseline of the input text line. Then, a horizontal line is drawn at the
location of the baseline as shown in figure 3-15-2. To generate the first version, the connected component algorithm is applied to the image obtained from the previous stage and select the largest component which represents the body of the text without diacritics and dotting as in figure 3-15-3 To remove the horizontal line, we made a logical AND operation between the resulted image and the original one as in figure 3-15-4 The second version of the line image is obtained by subtracting the original input text line image (figure 3-15-1) from the generated first version (figure 3-15-
4) as shown in figure 3-15-5, and algorithm 5 shows these steps in details.


Figure 3-15-1 Original line image (main bodies + diacritics + dotting)


Figure 3-15-2 The drawn line at the location of the baseline


Figure 3-15-3 Main body line version with horizontal line


Figure 3-15-4 Main body line version


Figure 3-15-5 Diacritics and dotting line version
Figure 3-15 Example of the three lines generation

```
Algorithm 5: Words/Sub-words Segmentation Pre-processing Step
    Input: A binary image which is a line (im_line)
    Result: im_line (full line), im_main_body (line image without diacritics), im_diacritics (line's
            diacritics)
    begin
        //compute the horizontal projection for the input line image
        h_proj}\leftarrow\operatorname{sum}(p(\mp@subsup{i}{}{`}j)
        //calculate global maximum peak
        max_peak }\leftarrow\operatorname{max}(local_peaks
        //get the location of the global maximum peak.
        max_peak_location }\leftarrow\mathrm{ get_location(max_peak)
        //draw line at the global maximum peak to make the main bodies as one connected component.
        Draw_line(max_peak)
        //extract the main-body image from the input line
        im_main_body \leftarrow select_line(max_peak)
        //extract the diacritics image from the input line
        im_diacritics }\leftarrow\mathrm{ im_line.Subtract(im_main_body)
    end
```


### 3.2.2 Words and Sub-Words Extraction

For Arabic script, each word consists of one or more sub-words [30]. To extract words/sub-words, a vertical projection is applied to the line's versions extracted from text line image processing as an initial step to find the gap between them (where the projection equals to zero) [18], so three versions of the words are available now (original word, word's main body and word's diacritics). The challenge after this step is to know that the text between two gaps is a word or a sub-word, and if it is a sub-word to which word belong. In other words, what is the suitable threshold to determine the separation space between the sub-words as an intra-space in the same word or a separation space between two distinct words? Moreover, the gap between two consecutive words or sub-words is not fixed and depends on the font type, size and style. To handle this issue, first we compute the pen size which is the pen thickness used for writing [11] of the current two
consecutive words'/sub-words' main bodies, and compare it with length of the separation space between the current two consecutive words/sub-words.

Calculating the pen size can handle by taking the most frequent value in the vertical projection applied for each sub-word, but taking the most frequent value from the vertical projection of some individual characters like aleph "l", gives a wrong estimation of the pen size. For this reason, the pen size is calculated by taking into account the most frequent value calculated from the horizontal projection. Thus, if the most frequent value calculated from horizontal projection is greater than the most frequent value calculated from the vertical projection, then the pen size is the most frequent value calculated from the vertical projection. That means, if the subword consists of more than one character, then the pen size is the thickness of the baseline, and vice versa. Pen size calculation if formally defined as:

```
SW:Sub - Word
HP: Horizontal Projection
VP: Vertical Projection
MFV: Most Frequent Value
PS: Pen Size
if max (HP(SW))> max (VP(SW))
    PS = MFV (VP);
else
    PS = MFV (HP);
end
```

Figure 3-16 shows an example of pen size calculations for the two cases. In the example, there are two sub-words. For the first one (left), the pen size is chosen as the most frequent value from the horizontal projection, while in the second subword, the pen size is chosen as the most frequent value from the vertical projection.


Figure 3-16 Pen size calculation
After calculating the pen size, the pen size is compared with the separation space. Thus, if the separation space between two consecutive words/sub-words is larger than the mean of the pen size of these two consecutive words/sub-words, then the separation region performs a separation between two different words, else, the separation region is between two sub-words in the same word, defined formally as:

## SS: Separation space

PS:Pen_Size
CP: Current_Part
NP:Next_Part

$$
\begin{gather*}
\text { If }(\text { Length }(S S)>\text { mean }(P S(C P), P S(N P)) \\
W o r d \_i n d e x ~ \tag{3-6}
\end{gather*}++
$$

## End

Figure 3-17 shows how to determine if the two separated parts are related to the same word or different words. The figure shows that there are three separated parts ("ب","),"," "بمد"), and the pen size of these parts are 3, 4 and 3 respectively. The
separation space between the first part and the second part is less than the mean pen size of these parts, thus these two parts related to the same word. On the other hand, the separation space between the second part and the third part is larger than the mean pen size of these parts, thus these two parts related to different words. Algorithm 6 shows the steps in details.


Figure 3-17 Distance between two sub-words in the same word and different words

### 3.2.3 Sub-words Overlapping Case and Diacritics Extraction:

After the words and sub-words extraction step, every word/sub-word is passed to another level of processing to check for the overlapping case between sub-words since the vertical projection method can't handle this case (no clear gap between them, they are overlapped) and also to link each diacritic to its related sub-word. In addition, the detection of a single dot and two touching dots is done in this step, they are needed in the character segmentation post processing step to determine some special cases like "SEEN" and "SHEEN" characters.

Figure 3-18-1 shows two overlapped sub-words, the overlapped sub-words in this case are extracted by applying the connected components algorithm on the main
body image version of the sub-word [32] since it is difficult to find the overlapped sub-words using vertical projection technique which used if a clear gap is found, by using the connected component every group of touching pixel is considered as one component. Figure 3-18-2 shows the main bodies for the two overlapped subwords. The number of the sub-words equals the number of the connected components in the main body image version.


Figure 3-18-1 Overlapped sub-words


Figure 3-18-2 Two overlapped main bodies of sub-words

In this step, another issue appears related to the extracted connected components ordering in the overlapped sub-words. If there are many overlapped sub-words and the connected components are extracted, they should be sorted from right to left, to solve this issue they are re-ordered by max column index (depending on Arabic script catachrestic) for each connected component to give the correct result.

To assign each diacritic to its related sub-word, firstly, all diacritics of the diacritic's sub-word image that related to the processed main body image are extracted using
the connected component algorithm [32]. Figure 3-18-3 shows the diacritics that are related to the overlapped sub-words.


Figure 3-18-3 Diacritics image of overlapped sub-words

Then, the overlapping percentage is calculated for each diacritic with the overlapped sub-words extracted from the previous step. The diacritic that are assigned to the sub-word have the max overlapping percentage with it. If the subword consists of one connected component then no overlapping is detected, so all diacritics in the diacritics image version are related to it. The overlapping percentage is calculated as following:

- Find the overlapping area by finding the intersection area between the diacritic and sub-word at the column level.
- Find the size of the overlapping area
- Find the size of the diacritic
- Find the overlapping percentage between the size of the overlapping area and the diacritic size

$$
\begin{equation*}
\text { Overlapping_percentage }=\frac{\text { overlapping_area_size }}{\text { diacritic_size }} \tag{3-7}
\end{equation*}
$$

Figure 3-18-4 shows the final segmentation result in case of overlapped sub-words and diacritics and assigning each diacritic to its related sub-word. It is worthy to mention that while assigning the diacritics to its related sub-word, the generated images' sizes should be equal to the original image (sub-word) size in case of overlapped sub-words, so the indices that have been gotten from the extraction of connected components can be used again since the images perform a reference to the original one.


Figure 3-18-4 Sub-words and diacritics segmentation result in case of overlapping

```
Algorithm 6: Words/ Sub-words and Diacritics Segmentation
    Input: A line image in binary format (im_line)
    Output: List of sub-words
    begin
        //vertical projection is applied
        \(V \_p r o j \leftarrow i m \_l i n e \triangleright \operatorname{sum}(p(i c j))\)
        //indices where vertical projection equals to zero
        Separation_indices \(\leftarrow V\) _proj \(==0\)
        //separation indices are grouped to be considered as separation regions.
        separation_regions \(\leftarrow\) group_continuous_indices(Separation_indices)
        for \(i \leftarrow 2\) to length(separation_regions) do
            sub-word_min_column_index \(\leftarrow \max (\) separation_regions \((i))\)
            sub - word_max_column_index \((i) \leftarrow \min (\) separation_regions \((i+1))\)
            //the separation area length between two sub-words
            Separation_length \((\) size \()=\max (\) current_group_indices \()-\min (\) current_group_indices \()\)
            //determine if the separation region is between two sub-words in the same word or different
            words .
            if Separation_length \((\) size \()>\) mean \(\left(s u b-w o r d s(i) \_p e n \_s i z e ~, s u b-w o r d s(i-1)\right.\) _pen_size \()\) then
            Word_index + +
            end
            sub-words(i).word_index \(\leftarrow\) Word_index
            //sub-word image
            sub - words \((i) . i m \_s u b-w o r d \leftarrow i m \_l i n e(:\), sub - word_min_column_index \((i):\)
            sub - word_max_column_index(i))
            //sub-word diacritics image
            sub - words(i).im_diacritics \(\leftarrow\)
            im_diacritics \(\left(:\right.\), sub - word_min_column_index \(\left.(i): s u b-w o r d \_m a x \_c o l u m n \_i n d e x(i)\right)\)
            //sub-word main body image
            sub - words \((i)\). im_main_body \(\leftarrow\)
            im_main_body(:,sub - word_min_column_index(i):sub - word_max_column_index(i))
            //check for overlapping, one dot, two dots and to link each diacritic with its correct sub-word
            Get_Overlapped_Sub_words_AND_Diacritics(sub_words(i));
            ListOf(sub - words).Add (sub - word (i));
        end
        return ListOf(sub - words)
    end
```

How to determine the dot and two touching dots in the diacritics image version? The connected components in the diacritics image that related to the sub-word are passed for checking, each connected component performs a single diacritic, then the region properties (solidity, major axis length, minor axis length and centroid) for each diacritic are calculated, these properties describe the dot and can distinguish it from other diacritics. Figure 3-18-3 shows diacritics' image which contains one dot and two touching dots.

To consider the diacritic as one or single dot the following conditions should be satisfied:

- The solidity for single dot is more than .8 , the solidity gives an indication to how much the shape is filled, it is the ratio between the shape area and its convex hull area. By conducting the experiments for different font sizes and types the results shows that one dot has a solidity greater than .8 .

$$
\begin{equation*}
\text { diacritic.region_props(solidity) }>.8 \tag{3-8}
\end{equation*}
$$

- The nearest two pixels from the centroid should have a value equals to 1 ; that means the shape is filled in region near to center.
- The approximation for the ratio between the major axis length and the minor axis length should be equal to one.

$$
\begin{equation*}
\text { Round }\left(\frac{\text { major_axis_length } \left._{\text {and }}^{\text {minor_axis_length }}\right)=1, ~(1)}{}\right. \tag{3-9}
\end{equation*}
$$

Figure 3-19 shows the major Axis length, the minor axis length and the ratio between them that equals to one.


Figure 3-19 Ratio between major axis length and minor axis length is approximately equal 1

If the previous conditions are not satisfied, then the algorithm starts checking for the two touching dots. The diacritic is split into two parts from the center point then the checking for one dot is repeated for each part: the solidity should be greater than .88 , this value obtained from conducting experiments for different font sizes and types, and the approximated ratio between major axis length and minor axis length should be equal to one. Figure 3-20 shows two touching dots.


Figure 3-20 Two touching dots

Figure 3-21 shows an example of word/sub-word and diacritics segmentation.


Figure 3-21-1 Input image (line)


Figure 3-21-2 Main body line version


Figure 3-21-3 Diacritics and dotting line version


Figure 3-21-4 Splitting result after applying vertical projection to the main body line


Figure 3-21-5 Diacritics and doting version after splitting at the same locations where the splitting regions locates in the main body line version


Figure 3-21-6 Main bodies' separation after applying the connected component concept


Figure 3-21-7 Diacritics and dots separation after applying the connected component concept and computing the overlapping percentage


Figure 3-21-8 Sub words' separation result after merging the previous two output images


Figure 3-21-9 Words separation result after computing the pen size between every two consequent sub-words

Figure 3-21 An example of word/sub-word and diacritics segmentation

### 3.3 Character Segmentation

The proposed algorithm for character segmentation based on the contour extraction technique and passes through the following steps as shown in figure 3-22:

- Word/sub-word binary image is used as input.
- Contour extraction stage: in this stage the outer shape or boundary of the sub-word's main body is extracted [3].
- Up-contour extraction: the upper part of the contour is extracted to determine the cutting regions; tracing algorithm is used to extract the upper part.
- Post processing step is needed because the initial splitting causes an over segmentation problem for some characters like SEEN (س), SHEEN (ش), SAD (ص) and DAD (ض).


Figure 3-22 Character segmentation algorithm

### 3.3.1 Contour Extraction

The proposed algorithm depends on extracting the contour to split the sub-word into sequence of characters; contour extraction technique gives a clear description for the sub-word or characters shape, so determining the splitting regions would be easier. Then, the outer contour is extracted using sequence of morphological operations.

Many ways were tested to extract the contour of the sub-word, the best results obtained by using imcontour() MATLAB function with some enhancements added to it. The using of imcontour() function gives better results specially for small font sizes, while extraction the contour by sequence of morphological operations shows some problems like the connection between contour parts, and sometimes part of the word is erased which cause major problems in next processing steps (extraction of the up contour and the splitting areas or regions) [33]. The contour of the subword is extracted only while the diacritics are removed from the input sub-word since only the main body is needed. Enhancements are applied to the sub-word's main-body by filling the holes [29] and dilation by two pixels [31]. As a result, some smoothing is done without affecting the details of small font sizes, so the structure of some characters like seen (س) is not erased. The imcontour() function is applied to the main body by one level to get the outer contour, the axis of resulted figure are then adjusted by using 'auto' option and by removing the ticks, the figure is then converted to binary image and some gaps are removed. Figure 3-23 shows the steps of the contour extraction.


Figure 3-23 Contour extraction steps

### 3.3.2 Up-Contour Extraction

In this step, two points on the resulting contour are elected as a start and end points for the path that will form the up contour [18], the start point locates in the first part of the sub-word's contour, and the end point locates in the last part of the subword's contour.

To determine the first and the last parts of the sub-word's main body, firstly, a threshold value is determined according to the average length for of the character for the current used pen size, so the first part locates between the maximum column index of the sub-word contour and the second column, which is determined by subtracting the previous threshold value from the max column index [33].

## CCI: Contour Columns Indeces <br> TV:Threshold Value <br> CFP: Contour First Part

Column_1 $=\max (C C I)$
Column_2 = Column_1-TV
CFP $=$ contour $($ Column_2: Column_1)

In the same manner, the last part is determined, but at this time the region locates between the first column index of the contour and the second column which is assigned by adding the previous threshold to the first column index value. Figure 3-24 shows the first and the last parts of the sub-word contour.

## TV:Threshold Value

CLP: Contour Last Part
Column_2 = 1 + TV
CLP $=$ contour (1 : Column_2)


Figure 3-24 Last and first parts of the up-contour

To elect the start point; the two pixels which have the minimum row index values are located, then the pixel with maximum column index is elected, this way gives better accuracy in extracting the up-contour. Algorithm 7 describes the steps for electing the start point. The same for the end point election; the two pixels which
have the minimum row index values are located, then the pixel with minimum column index is elected. Algorithm 8 describes the steps for electing the end point. Now, the start and the end points are ready to start the tracing and extracting the up-contour. Figure 3-25 shows the elected start and end points.


Figure 3-25 Start and end points election for tracing and extracting the up-contour

```
Algorithm 7: Start Point Election
    Input: Contour First Part (CFP)
    Output: Start_Point(X,Y)
    begin
        // find CFP rows indices
        rows_indices }\leftarrow\mathrm{ find_rows(CFP)
        //sort in Ascending order
        sorted_indices }\leftarrow\mathrm{ sort(rows_indices)
        //find min two values
        min_two_row_indices }\leftarrow\mathrm{ sorted_indices(1,2)
        //find max column index (y) from the points that have row index (x) equals to the first min row
        value
        col_index_option 1 \leftarrow max_c(CFP(c,min_row_1))
        //find max column index (y) from the points that have row index (x) equals to the second min
        row value
        col_index_option 2 \leftarrow max_c(CFP(c,min_row_2))
        if col_index_option1 > col_index_option2 then
            Start_Point_x =min_two_row_indices(1)
            Start_Point_y =col_index_option1
        else
            Start_Point_x =min_two_row_indices(2)
            Start_Point_y =col_index_option2
        end
        Start_Point = (Start_Point_x,Start_Point_y)
        return Start_Point
    end
```

```
Algorithm 8: End Point Election
    Input: Contour Last Part (CLP)
    Output: End_Point(X,Y)
    begin
        // find CLP rows indices
        rows_indices }\leftarrow\mathrm{ find_rows (CLP)
        //sort in Ascending order
        sorted_indices }\leftarrow\mathrm{ sort(rows_indices)
        //find min two values
        min_two_row_indices }\leftarrow\mathrm{ sorted_indices(1,2)
        //find min column index (y) from the points that have row index (x) equals to the first min row
        value
        col_index_option 1 \leftarrow min_c(CLP(c,min_row_1))
        //find min column index (y) from the points that have row index (x) equals to the second min row
        value
        col_index_option }2\leftarrow\mathrm{ min_c(CLP(c,min_row_2))
        if col_index_option1 > col_index_option2 then
            End_Point_x =min_two_row_indices(2)
            End_Point_y =col_index_option2
        else
            End_Point_x =min_two_row_indices(1)
            End_Point_y =col_index_option1
        end
        End_Point = (End_Point_x,End_Point_y)
        return End_Point
    end
```

The tracing operation is started from the elected start point pixel until reach the elected end point pixel.

A new empty image is created with the same size of the sub-word contour, each time a new pixel is located on the path, it is assigned to this empty image which will contain the up-contour at the end. The path is determined by moving from the start point pixel to the end point pixel in counterclockwise direction using 8 -edge neighboring to check different possibilities for next pixel location. Figure 3-26 shows the tracing direction to extract the up-contour [16].

The problems appear during the tracing operation are summarized by stuck in loops, and visiting the already visited points. To overcome these problems, a checking
operation for the next pixel -that is located in the expected next movement- is done, if it is already assigned on the up-contour image then it is ignored, else, the movement is done and the pixel is assigned to the up-contour image.

Each time the movement action is taken a place, the previous point is tracked, so in case of stuck in loops, an automatic change in path direction from the previous point is done. The tracing operation is finished when the elected end point in the contour is reached, at this moment, the up-contour is ready to be used and passed to another stage to determine the splitting areas or regions located on.


Figure 3-26 Tracing in counterclockwise direction to extract the up-contour

### 3.3.3 Splitting Regions Extraction

In this step, the up-contour is scanned to extract the splitting areas, where the subword can be segmented.

To extract the splitting areas, the up-contour is scanned row by row, then the continuous regions in every scanned row are determined. To consider this region as
a splitting area, it should perform a local minima region [25]. The first point and the last point in the region are examined before; if they satisfy the conditions as in the pseudo code shown below, then the region is considered as a splitting area so the first and last points in the region are considered as a splitting area reference points [33]. Figure 3-27 shows the splitting areas, and figure 3-28 shows their reference points.

CSR: Current Splitting Region
r: Row Index
c: Column Index
UC: Up Contour
if $\operatorname{UC}(\operatorname{CSR}(r)-1, \min (\operatorname{CSR}(c)))==1 \| \operatorname{UC}(\operatorname{CSR}(r)-$ $1, \min (S R C(c)))==1$
expected_min_col $==\min (\operatorname{CSR}(c))$
end
if $\operatorname{UC}(\operatorname{CSR}(r)-1, \max (\operatorname{CSR}(c)))==1| | \operatorname{UC}(\operatorname{CSR}(r)-$
$1, \max (\operatorname{CSR}(c))+1)==1)$
expected_max_col $=\max (\operatorname{CSR}(c))$
end


Figure 3-27 Up-contour splitting areas

Now each character locates between two splitting areas, but there are some special cases in which the splitting area locates within a character like SAD (ص), DAD(ض), SEEN(س), SHEEN (ش), TAA(b) and THAA(ظ), also there are splitting areas may locate in characters when they are locating at the end of the sub-word or exist independently in the text like $\operatorname{BAA}(ب)$ and $\mathrm{YAA}(\mathrm{S})$, so post processing step for character segmentation is necessary to ignore these splitting areas.


Figure 3-28 Splitting area reference points

### 3.3.4 Character Segmentation Post Processing

After determining the splitting areas, the areas pass through extra checking to distinguish which of them should be ignored and which not, for example, SEEN (س) character in the middle of the sub-word has three splitting areas, the first two should be ignored and the third one should be considered as a cutting region.

In the post processing step, the character segmentation algorithm passed through several steps, the first step is to determine the character's part, the character's part is defined as part of the up-contour which locates between two splitting areas as in
equation (3-13). The character's part performs a full character or a part within a character locates, so checking for some specifications is done to determine if this part should be merged or to consider it as a standalone character.
uc: up contour
csr: current splitting region
psr: previous splitting region
frp: first reference point
srp: second reference point
cp: character part
$c p=u c(c s r . f r p(2): p s r . \operatorname{srp}(2),:)$

Some specifications should be satisfied to merge this part; these specifications are as following:

- Euler number, which is one of the region properties that can be estimated; it should be equal or greater than one, which means that no holes exists in this part.
- Character's part height; the height should be less than the pen size multiplied by two.
- The part has no dots above or below. Figure 3-29 shows an example of a valid character's part that should be merged.

If the character's part satisfies the conditions or specifications, then the checking for SEEN (ش) case is taken a place, if the character's part is part of SHEEN (ش)
character (only the last part of SHEEN (ش) character satisfies the conditions), then the previous two splitting areas are ignored this means that the part is merged with two previous parts to perform SHEEN (ش) character. Figure 3-30 shows the detection for sheen (ش) case, if it is not SHEEN (ش) character, then the space counter is incremented by one.


Height $<2 *$ pen-size
$\checkmark \quad 3<2 * 3$
No holes
No dots

Figure 3-29 Valid character's part to be merged

Else if the character's part doesn't satisfy the conditions, then two cases should be checked:

- The first case, if the character's part is within the last character in the subword (the last character is treated independently).
- The second case, is the general case which checks the count of the spaces to discover or detect some special characters; when the space counter equals to three then SEEN (س) character is detected (in this case the character's part is merged with previous two parts to perform SEEN (س) character), and
when the space counter equals to one, then SAD (ص) or DAD (ض) character is detected (in this case the character's part is merged with the previous part to perform SAD (ص) or DAD (ض) character). Figure 3-31 shows seen case detection when the space counter equals to three (three characters' parts satisfy the conditions), and figure 3-32 shows SAD (ص) and DAD (ض) cases detection when the space counter equals to one (one character's part satisfies the conditions).

If the character's part locates within the last character, and last splitting region is below the baseline [13] with a distance equals to the pen size or more, then the splitting region should be ignored. Figure 3-34 shows the last splitting area which should be ignored.

After ignoring the last part, and if it has no dots, then that means that the part is not a full character and should be merged with some parts before to perform one character, like in SEEN (س), SHEEN(ش), SAD (ص) and DAD (ض) cases, so additional checking should be done to detect these cases; if the last part is a part of SHEEN (ش) character, then the last part should be merged with previous two parts, if the space counter equals to two, then SEEN (س) case or character is detected and the part should be merged with previous two parts, lastly, if the space counter equals to one, then SAD (ص) or DAD (ض) case is detected and the part should be merged with previous part. Figure 3-35 shows how the last splitting area is ignored due to its existence below the baseline, and how the last part is merged with the previous parts to perform one character according to the detected character. For TAA (b) and THAA (ظ) characters, the splitting region locates above the base line with distance
equals at least the sub-word's pen size, if this condition is satisfied, then the splitting region is ignored. Figure $3-33$ shows TAA (b) case, and algorithm 9 shows these steps in details.


Character's part
satisfies the
coñditions

Figure 3-30 SHEEN case is detected, so the character's part is merged with previous two parts to perform one character


Three character's parts satisfy the conditions

Figure 3-31 Space counter $=3$, SEEN case is detected and the three parts are merged to perform one character


Figure 3-32 Space counter $=1$, so SAD or DAD case is detected and the part is merged with previous one to perform one character


Splitting region
above the baseline
Baseline

Figure 3-33 Splitting region is above the baseline so it should be ignored (TAA and THAA cases)


Figure 3-34 Last splitting region is below the baseline so it is ignored


Figure 3-35 Last splitting region is below the baseline so it is ignored, also the last part is merged after it is detected as part of other character like SEEN, SHEEN, SAD or DAD.

## SHEEN (ش) case detection:

The algorithm for post processing depends mainly on finding character's part with some specifications, like not having dots and not having holes, these specifications are met on the third part of the SHEEN (ش) character. When the checking sheen case is taking a place, then the previous two parts are determined by the previous two splitting regions, also, the specifications should be met for the previous two characters' parts except not having dots. The expected SHEEN (ش) character should have three dots above (three separated dots or one dot plus two touching dots), and these dots should be overlapped to perform the three dots. Figure 3-36 shows SHEEN (ش) character with three overlapped separated dots, and figure 3-37 shows SHEEN (ش) character with three overlapped dots (one single dot plus two touching dots).


Figure 3-36 SHEEN (ش)case detection by one character's part that satisfies the specifications and three overlapped separated dots.


Figure 3-37 SHEEN (ش) case detection by one character's part that satisfies the specifications and three overlapped dots (one single dot and two touching dots).

```
Algorithm 9 Character Segmentation Post Processing
Input: List of splitting regions (splitting_regions)
Result: Each splitting region is assigned by a flag to be ignored or not
begin
    for \(i \leftarrow 1\) to length(splitting_regions) do
        if Splitting_regions(i).Locates_above(Baseline)) then
            //solves the TAA and THAA cases
            Splitting_regions(i).ignore \(\leftarrow\) true
            return
        end
        Character_part \(\leftarrow\) part.Locates_between(splitting_regions(i)splitting_regions(i +1 ));
        if Character_part.EulerNumber \(>=1\) and not (Character_part.has_dot_ind) and
            Character_part.Height \(<2\) * pen_size then
                // conditions are satisfied
                if sheen_ind then
                    // Ignore the previous two splitting regions.
                Splitting_regions \((i-1)\).ignore \(\leftarrow\) true Splitting_regions(i -2).ignore \(\leftarrow\) true
            else
                Space_counter ++;
                end
            else
                // conditions are not satisfied
                    if Is_last_one(splitting_regions(i)) then
                    if locates_under_baseline(splitting_regions(i)) then
                            splitting_regions \((i)\).Ignore \(\leftarrow\) true
                            // check if this part is a part of another character like SEEN, SHEEN, SAD, and DAD
                    if sheen_ind then
                            Splitting_regions(i-1).ignore \(\leftarrow\) true Splitting_regions(i-2).ignore \(\leftarrow\) true
                else if Space_counter \(=\mathbf{2}\) then
                            // SEEN case
                            Splitting_regions (i-1).ignore \(\leftarrow\) true Splitting_regions(i-2).ignore \(\leftarrow\) true
                    else if Space_counter \(==1\) then
                            // SAD or DAD case
                            Splitting_regions( \(i-1\) ).ignore \(\leftarrow\) true
                end
            else
                // general case
                if Space_counter \(==3\) then
                            // SEEN case
                            Splitting_regions( \(i-2\) ).ignore \(\leftarrow\) true
                            Splitting_regions \((i-3)\).ignore \(\leftarrow\) true
                else if Space_counter \(==1\) then
                    // SAD or DAD case
                    Splitting_regions \((i-2)\).ignore \(\leftarrow\) true
            end
        end
    end
end
```


## Chapter 4 <br> Testing and Results

Two datasets and specific tools were used to measure the accuracy of the proposed algorithms. In section 4.1 the tools are described, and the datasets and their structure are described in section 4.2, and finally, the accuracy and comparison results are mentioned in section 4.4.

### 4.1 Tools

### 4.1.1 Software

The code was implemented using MATLAB software program version R2013a, it provides an image processing tool, plus an easy representation for image by using matrix operations.

### 4.1.2 Hardware

The code was run on a Pentium 2.16 GHz laptop with 4 G RAM.

### 4.2 Datasets Description

Two datasets were used for testing purpose, the first one was APTID / MF (Arabic Printed Text Image Database / Multi-Font), which is used by researchers as a benchmark dataset for Arabic OCR different purposes, and the second dataset was created in this research for testing purpose.

### 4.2.1 APTID / MF Dataset

APTID / MF dataset consists of 1,845 Arabic printed image text-blocks, that contains of 126,792 different Arabic words, written in 10 font types (Andalus, Arabic Transparent, AdvertisingBold, Diwani, DecoType Thuluth, Simplified Arabic, Tahoma, Traditional Arabic, DecoType Naskh and M Unicode Sara), two
different styles (regular and bold), and 4 font-sizes (12, 14, 16 and 18 points), the dataset also includes XML files as a meta data for these images [34].

### 4.2.2 Thesis (Local) Dataset

This dataset consists of different images for printed Arabic text with and without diacritics written in 5 different font types (Simplified Arabic, Times New Roman, Arial, Advertising Bold, and Arabic Transparent), styles (regular, bold and italic), and sizes ( $8,9,10,12,14,16,18$ and 24 points), these images are with 300 dpi resolution. Table 4-1 shows an example of these font types with different styles.

Table 4-1 Font types and styles that have been used in the local dataset

| Font name | Regular | Bold | Italic |
| :---: | :---: | :---: | :---: |
| Simplified <br> Arabic | بسم الله الرحمن الرحيم | بسم الله الرحمن الرحيم | بسم الله الرحمن الرحيم |
| Times New <br> Roman | بسم الشّ الرحمن الرحيم | بسم اللّا لرحمن الرحيم | بسم الشّ الرحن الرحبم |
| Arial | بسم الهّ الرحمن الرحيم | بسم اللّ الرحمن الرحيم | بسم الّه الرحن الرحبي |
| Advertising <br> Bold | بـسـم اللهّ الرحـمـن <br> الرحـيـم | بســــ اللةّ الرحـمـن <br> الرحـيم | بسلـهر اللّه الـرحـمـن <br> الرحـيم |
| Arabic Transparent | بسم الهّ الرحمن الرحيم | بسم اللّه الرحمن الرحيم | بسم اللّ الرحمن الرحيم |

### 4.3 Performance Metrics

The performance for segmentation is measured in terms of line segmentation rate, word segmentation rate and character segmentation rate. Line segmentation rate is the ratio of the number of lines that are correctly segmented to the total number of lines. Word segmentation rate is the ratio of the number of words that are correctly segmented to the total number of words. Character segmentation rate is the ratio of the number of characters that are correctly segmented to the total number of characters, in this metric, the ligature is considered as one character, and also, last character with no splitting area is not considered.

### 4.4 Testing Results

Each segmentation stage is tested using some data of the previously mentioned datasets. The OCR segmentation code runs sequentially, that means to segment into characters then line, word/sub-word and diacritics segmentation codes are also executed. The testing is done manually by eye and defining counters variables in the code to count the totals of segmented lines, words and characters.

### 4.4.1 Line Segmentation Results

Table 4-2 Line segmentation results for regular style with different font sizes (local dataset).

|  | Font name | No. of lines correctly segmented | Total number of <br> lines | Accuracy |
| :---: | :---: | :---: | :---: | :---: |
|  | Simplified Arabic | 3421 | 3446 | 99.3\% |
|  | Times New Roman | 1836 | 1852 | 99.1\% |


|  | Arial | 1818 | 1834 | $99.1 \%$ |
| :---: | :---: | :---: | :---: | :---: |
|  | Advertising Bold | 1832 | 1846 | $99.2 \%$ |
|  | Arabic Transparent | 1834 | 1845 | $99.4 \%$ |
|  | TOTALS | $\mathbf{1 0 7 4 1}$ | $\mathbf{1 0 8 2 3}$ | $\mathbf{9 9 . 2 \%}$ |

Table 4-3 Line segmentation results for bold style with different font sizes (local dataset).

| $\frac{\boxed{O}}{2}$ | Font name | No. of lines correctly segmented | Total number of lines | Accuracy |
| :---: | :---: | :---: | :---: | :---: |
|  | Simplified Arabic | 2979 | 2989 | 99.7\% |
|  | Times New Roman | 1828 | 1840 | 99.3\% |
|  | Arial | 1823 | 1836 | 99.3\% |
|  | Advertising Bold | 1828 | 1844 | 99.1\% |
|  | Arabic Transparent | 1819 | 1835 | 99.1 |
|  | TOTALS | 10277 | 10344 | 99.4\% |

Table 4-4 Line segmentation results for italic style with different font sizes (local dataset).

| ت | Font name | No. of lines correctly segmented | Total number of <br> lines | Accuracy |
| :---: | :---: | :---: | :---: | :---: |
|  | Simplified Arabic | 3020 | 3034 | 99.5\% |
|  | Times New Roman | 1834 | 1852 | 99 \% |
|  | Arial | 1816 | 1834 | 99\% |


|  | Advertising Bold | 1835 | 1846 | $99.4 \%$ |
| :---: | :---: | :---: | :---: | :---: |
|  | Arabic Transparent | 1833 | 1845 | $99.4 \%$ |
|  | TOTALS | $\mathbf{1 0 3 3 8}$ | $\mathbf{1 0 4 1 1}$ | $\mathbf{9 9 . 3 \%}$ |

Table 4-5 Line segmentation results summary (local dataset).

| Summary | No. of lines correctly <br> segmented | Total number <br> of lines | Accuracy |
| :---: | :---: | :---: | :---: |
|  | 31356 | 31578 | $99.3 \%$ |



Figure 4-1 An example of line segmentation output

### 4.4.2 Word/Sub-word Segmentation Results

Table 4-6 Word segmentation results for regular style with different font sizes (local dataset).

|  | Font name | No. of words correctly segmented | Total number of words | Accuracy |
| :---: | :---: | :---: | :---: | :---: |
|  | Simplified Arabic | 1564 | 1572 | 99.5\% |
|  | Times New Roman | 1544 | 1554 | 99.4\% |
|  | Arial | 1541 | 1550 | 99.4\% |
|  | Advertising Bold | 1333 | 1566 | 85.1\% |
|  | Arabic Transparent | 1542 | 1548 | 99.6\% |
|  | TOTALS | 7524 | 7790 | 96.5\% |

Table 4-7 Word segmentation results for bold style with different font sizes (local dataset).

| $\begin{aligned} & \underline{0} \\ & \frac{0}{2} \end{aligned}$ | Font name | No. of words correctly segmented | Total number of words | Accuracy |
| :---: | :---: | :---: | :---: | :---: |
|  | Simplified Arabic | 1563 | 1571 | 99.5\% |
|  | Times New Roman | 1550 | 1556 | 99.6\% |
|  | Arial | 1543 | 1549 | 99.6\% |
|  | Advertising Bold | 1336 | 1546 | 86.4\% |
|  | Arabic Transparent | 1540 | 1548 | 99.5\% |
|  | TOTALS | 7532 | 7770 | 96.9\% |

Table 4-8 Word segmentation results for italic style with different font sizes (local dataset).

| Font name | No. of words <br> correctly <br> segmented | Total number <br> of words | Accuracy |  |
| :---: | :---: | :---: | :---: | :---: |
|  | Simplified Arabic | 1558 | 1572 | $99.1 \%$ |
|  | Times New Roman | 1538 | 1554 | $99 \%$ |
|  | Arial | 1534 | 1550 | $99 \%$ |
|  | Advertising Bold | 1324 | 1566 | $84.5 \%$ |
|  | 1534 | 1548 | $99.1 \%$ |  |
|  | TOTALS | $\mathbf{7 4 8 8}$ | $\mathbf{7 7 9 0}$ | $\mathbf{9 6 . 1 \%}$ |

Table 4-9 Word segmentation results summary (local dataset).

| Summary | No. of words correctly <br> segmented | Total number <br> of words | Accuracy |
| :---: | :---: | :---: | :---: |
|  | 22544 | 23350 | $96.5 \%$ |

Table 4-10 Word segmentation results (APTID / MF dataset).

|  | Font name | No. of words correctly segmented | Total number of words | Accuracy |
| :---: | :---: | :---: | :---: | :---: |
|  | Simplified Arabic | 2859 | 2871 | 99.6\% |
|  | Advertising Bold | 1176 | 1274 | 92.3\% |
|  | Arabic Transparent | 1693 | 1698 | 99.7\% |
|  | TOTALS | 5728 | 5843 | 98\% |

## 

Figure 4-2-1 Simplified Arabic regular style as input

## 细

Figure 4-2-2 Simplified Arabic regular style word segmentation result.

## 

Figure 4-2-3 Simplified Arabic bold style as input

## 楆

Figure 4-2-4 Simplified Arabic bold style word segmentation result.

## 

Figure 4-2-5 Simplified Arabic italic style as input

## 

Figure 4-2-6 Simplified Arabic italic style word segmentation result.
Figure 4-2 Simplified Arabic font type word segmentation result with different styles (Regular, Bold, and Italic)

### 4.4.3 Character Segmentation Results

Table 4-11 Character segmentation results for regular style with different font sizes (local dataset)

|  | Font name | No. of characters correctly segmented | Total number of characters | Accuracy |
| :---: | :---: | :---: | :---: | :---: |
|  | Simplified Arabic | 3812 | 3874 | 98.4\% |
|  | Times New <br> Roman | 3788 | 3862 | 98.1\% |
|  | Arial | 3792 | 3864 | 98.1\% |
|  | Advertising Bold | 3786 | 3854 | 98.2\% |
|  | Arabic <br> Transparent | 3798 | 3866 | 98.2\% |
|  | TOTALS | 18976 | 19320 | 98.2\% |

Table 4-12 Character segmentation results for bold style with different font sizes (local dataset)

| $\stackrel{\sigma}{\circ}$ | Font name | No. of characters <br> correctly segmented | Total <br> number of <br> characters | Accuracy |
| :---: | :---: | :---: | :---: | :---: |
|  | Simplified Arabic | 3804 | 3874 | $98.2 \%$ |
|  | Times New <br> Roman | 3780 | 3862 | $97.9 \%$ |


| Arial | 3782 | 3864 | 97.9\% |
| :---: | :---: | :---: | :---: |
| Advertising Bold | 3780 | 3854 | 98.1\% |
| Arabic <br> Transparent | 3796 | 3866 | 98.2\% |
| TOTALS | 18942 | 19320 | 98\% |

Table 4-13 Character segmentation results for italic style with different font sizes (local dataset)

| $\stackrel{7}{2}$ | Font name | No. of characters correctly segmented | Total number of characters | Accuracy |
| :---: | :---: | :---: | :---: | :---: |
|  | Simplified Arabic | 3800 | 3874 | 98.1\% |
|  | Times New Roman | 3776 | 3862 | 97.8\% |
|  | Arial | 3780 | 3864 | 97.8\% |
|  | Advertising Bold | 3774 | 3854 | 97.9\% |
|  | Arabic Transparent | 3794 | 3866 | 98.1 |
|  | TOTALS | 18924 | 19320 | 98\% |

Table 4-14 Character segmentation results summary (local dataset).

| Summary | No. of characters <br> correctly segmented | Total number <br> of characters | Accuracy |
| :---: | :---: | :---: | :---: |
|  | 56842 | 57960 | $98.1 \%$ |

Table 4-15 Character segmentation results (APTID / MF dataset)

|  | Font Name | No. of characters correctly segmented | Total number of characters | Accuracy |
| :---: | :---: | :---: | :---: | :---: |
|  | Simplified <br> Arabic | 10847 | 11064 | $98 \%$ |
|  | Arabic <br> transparent | 6222 | 6326 | 98.4\% |
|  | Advertising Bold | 4961 | 5062 | 98 \% |
|  | TOTALS | 27476 | 27976 | 98.2\% |

Table 4-16 Execution Time for different segmentation stages with and without diacritics.
\(\left.$$
\begin{array}{|c|c|c|c|c|}\hline & \text { Segmentation } & \text { Total units } \\
\text { stage } \\
\text { count }\end{array}
$$ \quad \begin{array}{c}Execution <br>

time (second)\end{array}\right]\)| Average execution |
| :---: |
| time / unit |
| (second/unit) |


|  | Character Seg. | 986 | 158.8897 | 0.161 |
| :--- | :--- | :--- | :--- | :--- |

Table 4-17 Character segmentation sample images.



Figure 4-3-1 Input image.



Figure 4-3-2 Line segmentation output.

##    

Figure 4-3-3 Word segmentation output.


Figure 4-3-4 Character segmentation output for line 1.
Figure 4-3 Example of AOCR output in different stages

### 4.5 Source of Failure

The following cases leads to segmentation errors

- A line with small width followed by line written in large font (large width) and the spacing between them equals to zero, this leads to line segmentation error. In this case, the segment contains lines with different sizes after initial splitting with high threshold value, as shown in figure 44.


## كارَمِن رَإِينهَارت




Figure 4-4 Line segmentation failure due to the variance in font sizes with no spacing

- The global maximum peak locates at line touches with another one, leads to wrong width estimation then line segmentation error as shown in figure 45.


Figure 4-5 Line segmentation failure due to the touching with other line for the line locates at global maximum peak

- Error in calculating the pen size leads to wrong word / sub-word segmentation as shown in figure 4-6.


Figure 4-6 Word segmentation error due to the pen-size calculation error

- For small font sizes an error happen in detection the dot or two touching dots leads to character segmentation error as shown figure 4-7.


Figure 4-7 Character segmentation error due to an error in dots detection

- Small parts from another line in line segmentation stage sometimes are recognized as dots, and this leads to segmentation error as shown in figure 4-8.


Figure 4-8 Character segmentation error due to small parts from other line

- Absence of splitting region as shown in figure 4-9.


Figure 4-9 Character segmentation error due to the absence of the splitting region

## Chapter $5 \quad$ Conclusion and Future Work

### 5.1 Conclusion

Segmentation of Arabic text is error-prone. It is the stage where most of the errors occur and where the error in segmentation will result in classification errors. In this thesis, a new scheme is investigated and developed such that the segmentation is done in such a way to minimize errors and maximize the recognition rate. Different algorithms are proposed for different segmentation stages (line segmentation, word/sub-word and diacritics segmentation, and character segmentation). Promising results were achieved by using these proposed methods. the proposed scheme addresses the main problems in Arabic OCR different segmentation stages, for line segmentation stage, two main problems are addressed and solved; the overlapping and the over segmentation problems, the proposed algorithm shows excellent results for documents with diacritics and without diacritics, it shows up to 99\%.

Also, an enhanced method for word, sub-word and diacritics segmentation is proposed, the sub-words are extracted in two ways according the sub-words situation. Vertical projection is used in case of full separation between sub-words by finding the gaps between them, the connected component concept is used to find the sub-words in case of overlapping, also the connected components concept is used to extract the diacritics. The proposed method also determines if the sub-words are related to the same word or to different words regardless to the font type or size, by estimating the pen size for each sub-word. The algorithm shows promising results up to $98 \%$.

For character segmentation stage, an enhanced algorithm is proposed, based on contour extraction technique which has many advantages over other methods, like having a clear description for character shape and details even for small fonts, also the errors in extracting the baseline are eliminated since no need to adjust the baseline many times [14]. A post processing step is needed to solve over segmentation problem; ignore cases checking algorithm is developed in easy and reliable way that can fit many font types and styles. Character segmentation algorithm shows good results up to $98 \%$.

### 5.2 Future Work

AOCR is still an open area for research, further work to segmentation step may include:

- Working on the points that cause errors and failure in the current approach -they are mentioned in the previous section-.
- Working on character recognition stage to get rid of errors that appear in the segmentation stage.
- Enhancing the character segmentation post processing by using some feedback to enhance the segmentation.
- Working on the pre-processing step, like the skew detection and correction, noise removal and document analysis.
- Enhancing the given ideas in the thesis to get better results.


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